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Atty.Docket No. 080308**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Norio MURASE and Masanori ANDOApplication No./Patent No.: 10/518,216 Filed/Issue Date: September 26, 2005Entitled: SEMICONDUCTOR SUPERFINE PARTICLE PHOSPHOR AND LIGHT EMITTING DEVICE
NATIONAL INSTITUTE OF ADVANCED INDUSTRIAL
SCIENCE AND TECHNOLOGY(Name of Assignee) _____, a Corporation
(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

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OR

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Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

September 26, 2008

Date

Mel R. Quintos

Printed or Typed Name

(202) 659-2930

Telephone Number

Attorney for Applicants, Reg. No. 31,898

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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NOTE: Results display only for issued patents and published applications.
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Total Assignments: 1

Patent #: NONE

Issue Dt:

Application #: 10518216

Filing Dt: 09/26/2005

Publication #: 20060097624

Pub Dt: 05/11/2006

Inventors: Norio Murase, Masanori Ando

Title: Semiconductor superfine particle phosphor and light emitting device

Assignment: 1Reel/Frame: [016757/0520](#)

Recorded: 07/11/2005

Pages: 3

Conveyance: ASSIGNMENT OF ASSIGNEES INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: [MURASE, NORIO](#)

Exec Dt: 01/12/2005

[ANDO, MASANORI](#)

Exec Dt: 01/12/2005

Assignee: [NATIONAL INSTITUTE OF ADVANCED INDUSTRIAL SCIENCE AND TECHNOLOGY](#)

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SAEG129.007APC
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UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
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MAY 18, 2007

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CORRECTED
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RECORDATION DATE: 07/11/2005

REEL/FRAME: 016757/0520
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: SAEG129.007APC

ASSIGNOR:

MURASE, NORIO

DOC DATE: 01/12/2005

ASSIGNOR:

ANDO, MASANORI

DOC DATE: 01/12/2005

ASSIGNEE:

NATIONAL INSTITUTE OF ADVANCED
INDUSTRIAL SCIENCE AND
TECHNOLOGY
3-1, KASUMIGASEKI 1-CHOME, CHIYODA-
KU
TOKYO 100-8921, JAPAN

SERIAL NUMBER: 10518216

FILING DATE: 09/26/2005

PATENT NUMBER:

ISSUE DATE:

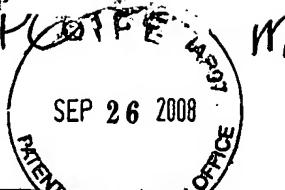
TITLE: SEMICONDUCTOR SUPERFINE PARTICLE PHOSPHOR AND LIGHT EMITTING DEVICE

TONYA LEE, EXAMINER
ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

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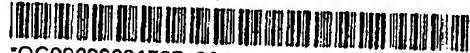
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/518,216	09/26/2005	1714	3120	SAEG129.007APC	3	28	1

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IRVINE, CA 92614

CONFIRMATION NO. 5734
FILING RECEIPT



"OC000000017674384"

Date Mailed: 01/17/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Norio Murase, Osaka, JAPAN;
Masanori Ando, Osaka, JAPAN;

Power of Attorney:

Katsuhiro Arai-43315

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/05648 05/06/2003

Foreign Applications

JAPAN 2002-178449 06/19/2002

Projected Publication Date: To Be Determined - pending completion of Security Review

Non-Publication Request: No

Early Publication Request: No

Title

COPY

Semiconductor superfine particle phosphor and light emitting device

Preliminary Class

252

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Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

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PATENT

ASSIGNMENTWHEREAS, I/WE (1) MURASE Norio,a Japanese citizen, residing at C/o Kansai Center of NATIONAL INSTITUTE OF ADVANCED INDUSTRIAL SCIENCE AND TECHNOLOGY, 8-31, Midorigaoka 1-chome, Ikeda-shi, Osaka 563-8577, Japan(2) ANDO Masanori,a Japanese citizen, residing at C/o Kansai Center of NATIONAL INSTITUTE OF ADVANCED INDUSTRIAL SCIENCE AND TECHNOLOGY, 8-31, Midorigaoka 1-chome, Ikeda-shi, Osaka 563-8577, Japan

(3) _____

a _____ citizen, residing at _____

hereinafter referred to as Assignor (collectively if more than one inventor is listed above), have invented certain new and useful improvements in SEMICONDUCTOR SUPERFINE PARTICLE PHOSPHOR AND LIGHT EMITTING DEVICE

the specification of which:

(a) is attached hereto; or(b) was filed on December 16, 2004 as Application
No. 10/518216 or Express Mail No., as Application No. not yet known _____ and was amended on _____ (if applicable); or(c) was described and claimed in PCT International Application No.PCT/JP2003/005648 filed on May 6, 2003

and as amended under PCT Article 19 on _____

(if any) and/or under PCT Article 34 on _____ (if any).

AND WHEREAS, NATIONAL INSTITUTE OF ADVANCED INDUSTRIAL SCIENCE AND TECHNOLOGY,with its principal place of business at 3-1, Kasumigaseki 1-chome, Chiyoda-ku, Tokyo 100-8921, Japan, (hereinafter referred to as Assignee) desires to acquire the entire right, title, and interest in and to the said improvements with respect to the United States of America, its territories and possessions.

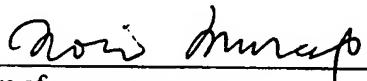
NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignor hereby acknowledges that it has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over, unto Assignee, its successors, legal representatives and assigns, the entire right, title, and interest in the United States of America, and its territories and possessions in, to and under said improvements, and any Patent Applications in the United States of America and all divisions, renewals and continuations thereof, and all Patents of the United States of America which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions; and Assignor hereby authorizes and requests the Commissioner of Patents of the United States of America to issue all Patents for

said improvements to Assignee, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

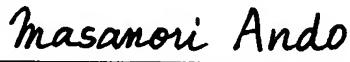
AND ASSIGNOR HEREBY covenants and agrees that it will communicate to Assignee, its successors, legal representatives and assigns, any facts known to it respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in the United States of America.

IN TESTIMONY WHEREOF, Assignor intending to be legally bound has hereunto affixed its signature.

This 12th day of January, 20 05


Signature of MURASE Norio

This 12th day of January, 20 05


Signature of ANDO Masanori

This _____ day of _____, 20 _____

Signature of